



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/901,229	07/09/2001	Rajeev Shorey	JP920010069US1

CONFIRMATION NO. 6218

## FORMALITIES LETTER



\*OC000000006463883\*

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPT.  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

Date Mailed: 08/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

*Charlton Young*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/05/2002 SMINASS1 00000037 090468 09901229

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Rajeev Shorey

Docket No. JP920010069US1

Serial No. 09/901,229

Group Art Unit: 2661

Filed: July 9, 2001

Examiner:

Batch No.:

Confirmation No.: 6218

For: TRAFFIC MANAGEMENT IN PACKET-BASED NETWORKS

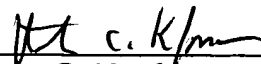
Commissioner for Patents  
Washington, D.C. 20231

**SUBMISSION OF FORMAL DRAWINGS**

Sir:

Submitted herewith are three (3) Formal Drawing sheets for filing in the above-identified application.

Respectfully submitted,

  
\_\_\_\_\_  
Stephen C. Kaufman  
Reg. No. 29,551  
Telephone: (914) 945-3197

IBM CORPORATION  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, NY 10598  
Fax: (914) 945-3281

Date: October 19, 2001



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent Application of: Rajeev Shorey

Confirmation No. 6218

Serial No.: 09/901,229

Examiner:

Filed: July 9, 2001

Group Art: 2661

For: TRAFFIC MANAGEMENT IN PACKET-BASED NETWORKS

Commissioner for Patents  
Washington, D. C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

1. Acknowledgement Postcard
2. Notice to File Missing Parts
3. Response to Notice to File Missing Parts
4. Executed Declaration and Power of Attorney
5. Assignment to IBM with Recordation Form
6. Submission of (3) Formal Drawings
7. Information Disclosure Statement
8. PTO-1449 with References

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

*Assistant Commissioner for Patents  
Washington, D.C. 20231*

on October 19, 2001.

Kathy Cognatello

(Type or print name of person mailing paper)

Kathy Cognatello

(Signature of person mailing paper)

Docket No.: JP920010069US1



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Rajeev Shorey  
Serial No.: 09/901,229  
Filed: July 9, 2001  
Confirmation No. 6218

Docket No. JP920010069US1  
Group Art Unit: 2661  
Dated: October 19, 2001

For: TRAFFIC MANAGEMENT IN PACKET-BASED NETWORKS

Commissioner for Patents  
Washington, D.C. 20231

Attn: APPLICATION DIVISION, SPECIAL HANDLING UNIT

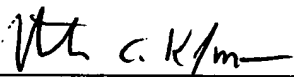
**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Sir:

Submitted herewith is a Declaration, properly signed by the Applicants referencing application Serial No. 09/901,229 as originally filed on July 9, 2001. Accordingly, the above-identified application is now complete.

Please charge Deposit Account No. 09-0468 in the amount of \$130.00 for the surcharge for filing the Declaration on a date later than the filing date of the application.

Respectfully submitted,

By   
Stephen C. Kaufman (Reg. 29,551)  
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